



SIL/LIST/BSE/SDD/DEC/2023

DATE: 12<sup>th</sup> January, 2024

To  
Deputy General Manager,  
Listing Compliance Department,  
B S E Limited,  
Phiroze Jeejeebhoy Towers,  
Dalal Street, Fort,  
Mumbai: 400 001.

Sirs,

Sub: Submission of Quarterly Compliance Certificate as per SDD Requirements for the Quarter ended 31/12/2023.

Ref: (1) Our SCRIP Code: (SUMERUIND | 530445 | INE764B01029)  
(2) Compliance to Regulation 3(5) and 3(6) of SEBI (PIT) Regulations 2015.

Please Find Enclosed here with Compliance Certificate pursuant to Regulation 3(5) and 3 (6) of the SEBI (PIT) Regulations 2015 for compliance made by the company relating to maintenance of Structured Digital Data Base for the Quarter ended December, 2023 signed by Ms. Nidhi Kartik Shah, Company Secretary and Compliance Officer of the Company.

Thanking you, we remain,  
Yours faithfully,

For Sumeru Industries Limited

Nidhi K Shah  
Company Secretary and Compliance Officer,  
Membership Number: ACS- 33325

## **SUMERU INDUSTRIES LIMITED**

CIN-L65923GJ1994PLC021479

Regd. Office: F.P. No-123, Behind Andaz Party Plot, Makarba Cross Road,  
S. G.Highway, Makarba, Ahmedabad, Gujarat, 380058

Phone: +91 79 29708184 / 29704161 • Email: investors.sumeru@gmail.com • website: sumerugroup.in



**COMPLIANCE CERTIFICATE FOR THE QUARTER ENDED DECEMBER 2023**

(Pursuant to Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations 2015)

I **NIDHI KARTIK SHAH**, Company Secretary and Compliance Officer of **SUMERU INDUSTRIES LIMITED** (Company CIN: L65923GJ1994PLC021479) am aware of the compliance requirements of Structured Digital Database (SDD) pursuant to provisions of Regulation 3(5) and 3(6) of Securities and Exchange Board of India (Prohibition of Insider trading) Regulations 2015 (PIT Regulations) and certify that:

- (1) The Company has Structured Digital Database in place.
- (2) Control exists as to who can access the SDD.
- (3) All the UPSI disseminated in the previous quarter have been captured in the Database.
- (4) The system has captured nature of UPSI along with date and time.
- (5) The database has been maintained internally and an audit trail is maintained.
- (6) The database is non tamperable and has the capacity to maintain the records for 8 years.

I also confirm that the Company was required to capture 1 Number of events during the quarters ended and has captured 1 Numbers of the said required events.

I would like to report that NIL non-compliance was observed in the previous quarter.

For Sumeru Industries Limited

Date- 12/01/2024  
Place- Ahmedabad

Nidhi K. Shah  
Company Secretary and Compliance Officer.  
Membership Number: ACS: 33325

**SUMERU INDUSTRIES LIMITED**

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**KAMLESH M. SHAH & CO.**

B.COM LLB ACS

Since - 1993

PEER REVIEWED

**PRACTICING COMPANY SECRETARY**

801-A, 8th Floor, Mahalay Complex, Opp. Choice Restaurant Lane, B/h. Fairdeal House,  
Off. C. G. Road, Navrangpura, Ahmedabad - 380 009. M. : 09825097709 Phone : 079 - 40393858  
E-mail : kshahcs@yahoo.co.in, cskshah@rediffmail.com

**COMPLIANCE CERTIFICATE FOR THE QUARTER ENDED 31ST DECEMBER, 2023**

*(Pursuant to Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015)*

I **KAMLESHBHAI MAHENDRABHAI SHAH**, Practicing Company Secretary, engaged by **SUMERU INDUSTRIES LIMITED** (Company CIN:L65923GJ1994PLC021479) am aware of the compliance requirements of Structured Digital Database (SDD) pursuant to provisions of Regulation 3(5) and 3(6) of Securities and Exchange Board of India (Prohibition of Insider trading) Regulations 2015 (PIT Regulations) and certify that in respect of compliance made by the company during the **Quarter ended 31st December 2023** are as under:

- (1) The Company has Structured Digital Database in place.
- (2) Control exists as to who can access the SDD.
- (3) All the UPSI disseminated in the previous quarter have been captured in the Database.
- (4) The system has captured nature of UPSI along with date and time.
- (5) The database has been maintained internally and an audit trail is maintained.
- (6) The database is non tamperable and has the capacity to maintain the records for 8 years.

I also confirm that the Company was required to **capture 1 Number of events** during the quarters ended and has captured 1 Number of the said required events.

I would like to report that the following non-compliances was observed in the previous quarter and the remedial action(s) taken along with timeline in this regard.

**NIL Non-Compliances are observed during the Quarter.**

Date: 10TH JANUARY, 2024  
Place: Ahmedabad  
UDIN: A008356E003178963



For, Kamlesh M. Shah & Co.,  
Practicing Company Secretary

Kamlesh M. Shah  
Proprietor  
ACS: 8356 COP: 2072